Update on the Concordat to Support Research Integrity
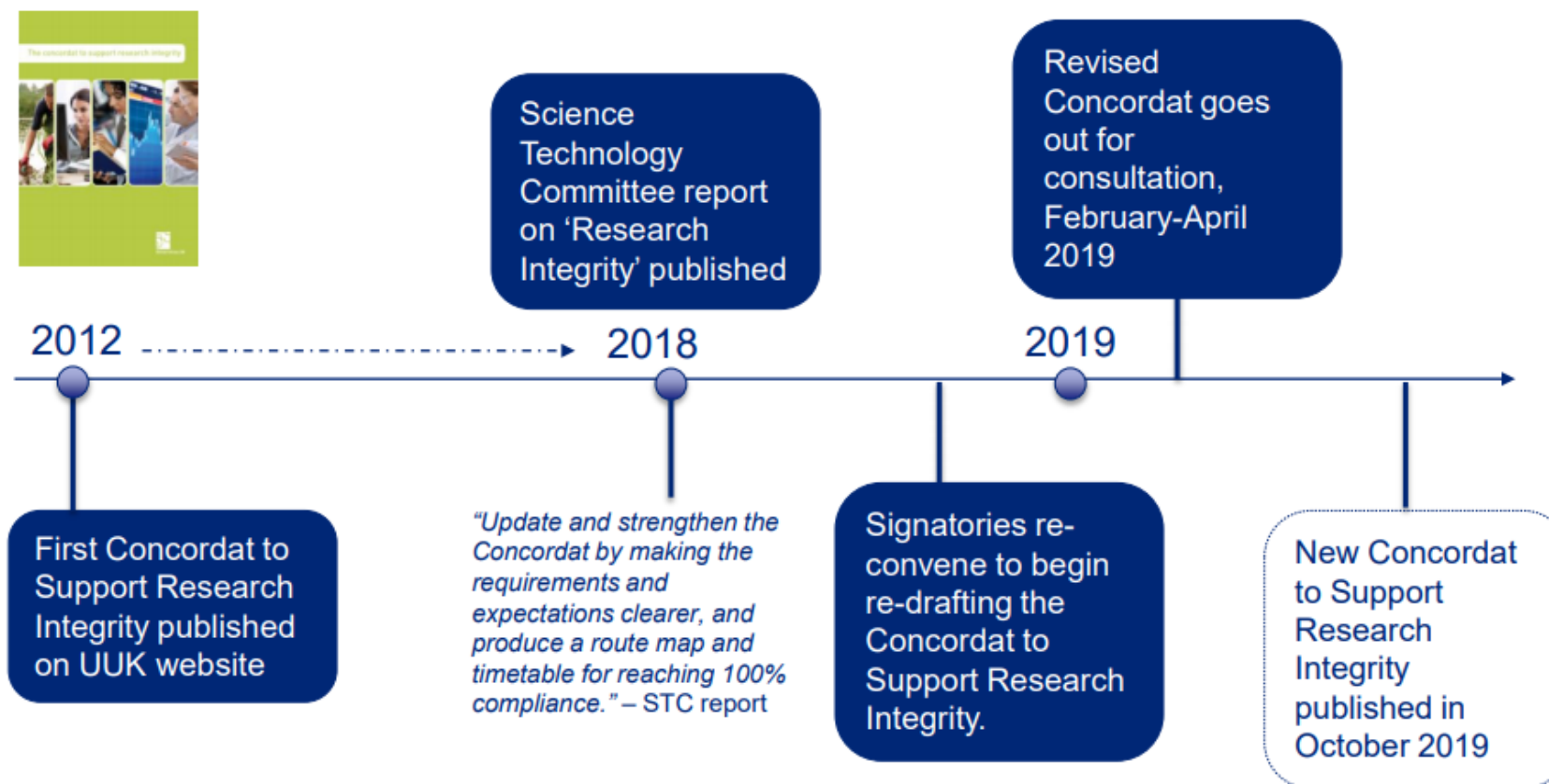
Dan Wake, Policy Manager, Universities UK

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About the Concordat

As signatories to and supporters of the concordat to support research integrity, we are committed to:

» maintaining the highest standards of rigour and integrity in all aspects of research

» ensuring that research is conducted according to appropriate ethical, legal and professional frameworks, obligations and standards

» supporting a research environment that is underpinned by a culture of integrity and based on good governance, best practice and support for the development of researchers

» using transparent, timely, robust and fair processes to deal with allegations of research misconduct when they arise

» working together to strengthen the integrity of research and to reviewing progress regularly and openly

Revisions to the Concordat - timeline

Science Technology Committee report on 'Research Integrity' published

Revised Concordat goes out for consultation, February-April 2019

2012 - - - - - - - - - - - - - - - > 2018   2019

First Concordat to Support Research Integrity published on UUK website

"Update and strengthen the Concordat by making the requirements and expectations clearer, and produce a route map and timetable for reaching 100% compliance." – STC report

Signatories re-convene to begin re-drafting the Concordat to Support Research Integrity.

New Concordat to Support Research Integrity published in October 2019

Summary of revisions

Accountability	Training	The role of researchers	Reporting

Continuous improvement cycle

Publish annual statements

See good practice and lessons elsewhere

Try / adopt good practices locally

Reflect on progress and next steps

Universities UK

Thank you.

RIsecretariat@universitiesuk.ac.uk

Research Integrity Reporting Requirements - Analysis

Isabella Hughes, Policy Intern, Universities UK

Structure

» Process

» Response Rate

» General thoughts on reporting requirements

» Statement evaluation

Process

» Response Recording
   » Emailing the RI Secretary Account
   » Presence of named contact
   » whistle-blower contact
   » Annual statement

» Statement Evaluation

Response Rate

» Response Rate - 52%

» Statement – 92%

» Named Contact - 93%

» Whistle-blower Contact – 88%

*Response rate includes those who may have emailed in requesting extension and not submitting the above mentioned requirements

General thoughts

- » Timing of Statement/Submission Deadlines
- » Location of information
  - » Email
  - » Website
  - » Statement
- » Whistle-blower contact
  - » Difficult to locate

Statement Key Features

» **Structure**

  » Two types

    » 1. Following the concordat commitments

    » 2. Following the requirements of the statement

» **Period Covered**

» **Governing Body Approval**

Statement Information

» Actions/Activities undertaken

» Misconduct

» Covid-19

   » 30% submitted statements included reference to Covid-19

» Updated Concordat

Thank you for listening!

The British Academy

The British Academy is the UK's national academy for the humanities and social sciences. We mobilise these disciplines to understand the world and shape a brighter future.

Harriet Barnes   Head of Policy (HE and Skills)

UKRI and research integrity

Neil Jacobs

Research Integrity Forum
May 2021

UKRI and research integrity

Our mission is to **convene, catalyse and invest** in close collaboration with others to build a thriving, inclusive research and innovation system that connects discovery to prosperity and public good.

The landscape – incentives

TOP FIVE INCENTIVES FOR EACH CATEGORY AS RATED FOR THEIR POTENTIAL IMPACT ON RESEARCH INTEGRITY*

Strongly positive perceived impact:	Positive and negative perceived impact:	Strongly negative perceived impact:
Data sharing policies and requirements	Media coverage and public perception of research	Incidents of bullying and harassment
Open access publishing	Research leadership and management	Use of journal impact factor (JIF), h-index and other metrics
Interdisciplinary research	How funding for specific projects is awarded	League tables of institutions
Professional development and training opportunities	How researchers are assessed for promotion during their careers	Institutional workload models
Research leadership and management	Institutional research strategy	How researchers are assessed for promotion during their careers

*Incentives phrased as asked in the survey. To some extent negatively perceived incentives can be caveated with 'poor' or 'inappropriate' (e.g. 'poor workload models' or 'inappropriate use of league tables') but not entirely.

UKRI and research integrity

Convening the community

UKRI Early Career Researcher Forum

Research Integrity Forum

Partnership roundtables

Support for UK Reproducibility Network

Workshops on priorities in research integrity

Investing in high integrity

Principles of peer review assessment and decision-making

Résumé for Researchers, a narrative CV format

"Governance of Good Research Conduct policy" and FAQs

Assurance processes related to our T+Cs

Catalysing improvements

Evidence, eg the 2020 landscape report

Subject-specific guidance, eg:
• Safeguarding good scientific practice (life sciences), research integrity, rigour and reproducibility (medical)

Signing / implementing DORA

Initiating a new national research integrity committee

UKRI UK Research and Innovation