MATTER NOTIFIED TO NAMED PERSON

Named Person acknowledges receipt; notifies relevant parties

Immediate action is taken in case of risk of harm to people, animals or the environment

Deemed to have sufficient substance to proceed to next stage

RECEIPT OF ALLEGATION STAGE
Named Person considers whether:
- the research was conducted under the auspices of the institution
- the matter relates to potential research misconduct

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Deemed to have sufficient substance to proceed to next stage

INITIAL INVESTIGATION STAGE
- meetings held
- evidence gathered

Matter is sufficiently serious to proceed to the next stage

FULL INVESTIGATION STAGE
- panel assesses evidence
- interviews complainant, respondent and witnesses

Poor practice: has substance but of minor nature; matter dealt with informally

Outcomes followed up and reported:
- to correct the record
- as duty of care
- as relevant to conclusion of the research misconduct procedure

APPEALS STAGE
- panel established if criteria met
- upheld or dismissed

Case dismissed:
- does not fall under misconduct procedure
- does not need referral elsewhere

Matter falls under another formal institutional procedure

Referral to relevant external body

Referrals to other formal process or external body

Allegations upheld either in full or in part

Referral to other formal process or external body

Allegations deemed unfounded as mistaken or without substance

Allegations deemed unfounded as vexatious