Research Misconduct Process

UKRIO
RESEARCH INTEGRITY OFFICE

START

MATTER NOTIFIED TO NAMED PERSON

Named Person acknowledges receipt; notifies relevant parties

RECEIPT OF ALLEGATION STAGE
Named Person considers whether:
- the research was conducted under the auspices of the institution
- the matter relates to potential research misconduct

Deemed to have sufficient substance to proceed to next stage

Immediate action is taken in case of risk of harm to people, animals or the environment

Case dismissed:
- does not fall under misconduct procedure
- does not need referral elsewhere

Poor practice; matter dealt with informally

Matter falls under another formal institutional procedure

Referral to relevant body

APPEALS STAGE
after Initial Investigation Stage (not applicable following the Receipt of Allegation Stage)
- panel established if criteria met
- upheld or dismissed

INITIAL INVESTIGATION STAGE
- meetings held
- evidence gathered

Preliminary report shared with Named Person

Matter is sufficiently serious to proceed to the next stage

FULL INVESTIGATION STAGE
- panel assesses evidence
- interviews complainant, respondent and witnesses

Report shared with Named Person

Referral to other formal process or external body

Allegations deemed unfounded as mistaken or without substance

Allegations deemed unfounded as vexatious

Has substance but of minor nature and dealt with informally

Allegations upheld either in full or in part

Complainant may appeal

APPEALS STAGE
- panel established if criteria met
- upheld or dismissed

Outcomes followed up and reported:
- as relevant to conclusion of the misconduct procedure
- as duty of care
- to correct the record

Respondant may appeal

APPEALS STAGE
- panel established if criteria met
- upheld or dismissed

END OF PROCESS

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