



# Enhancing international collaboration: The role of Trusted Research at Nottingham

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19 April 2023



# Trusted Research

- The challenge at Nottingham
- How have we responded?
- Collaborating with Overseas Campus
- Outside of STEM
- Key Takeaways



# How?

Nottingham has more than 46,000 students and 7,000 staff across the UK, China and Malaysia and had an income of £792.2 million in 2021–22, of which £131.4 million was from research grants and contracts



To enhance, increase and deepen International collaboration



# Our approach

## Trusted Research Team in Research Operations

- 'One stop' for Due Diligence; Export/Import Controls; Financial Due Diligence; NS&I; Nagoya Protocols and any other applicable Regulations in a Research setting.
  - Initially for Research and Innovation but now supporting new Teaching Partnerships and wider forms of international engagement
- **Project Notification Form** (PI to complete)
  - For all new international partnerships
  - What the Project is; with whom and why; where the partners are based (with address and contact details); which sector; and if any money is being transferred to or from UNUK
  - **Sign-off; Interview with PI; Escalate to Faculty/University**



# Our approach (cont'd)

- Low/Medium/High risk
  - Due diligence
    - 20 sources of public information e.g. OFSI; US Entity and MEU Lists; ASPI Tracker;
    - Commercial tool
    - Financial Due Diligence against certain thresholds to initiate mitigation plans as per UKRI guidance

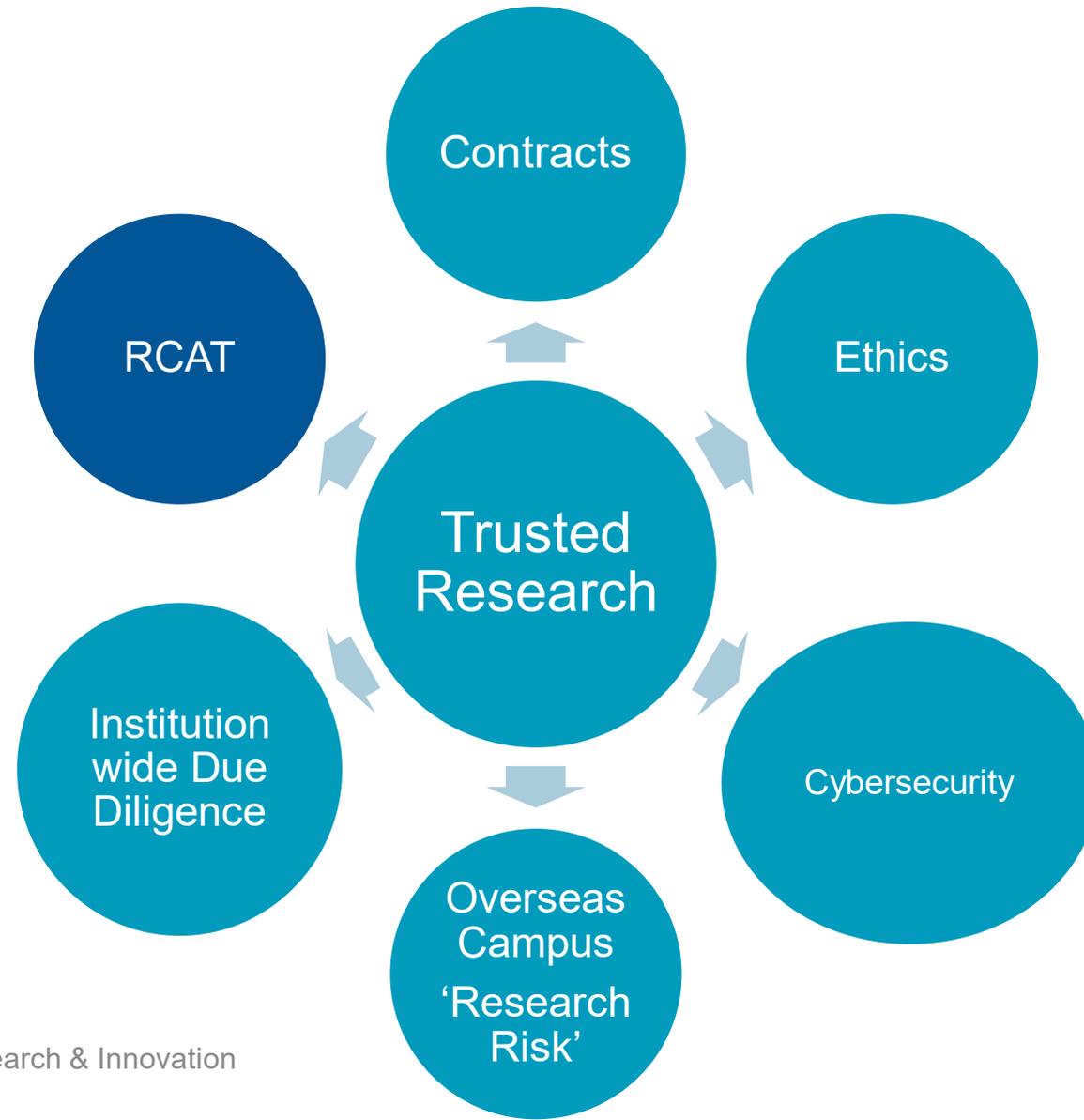


# Trusted Research progress at Nottingham

- Last year over 700 Project Notification Forms completed with a minimum of one partner
- On track for over 2,000 Project Notifications Forms this year
- Trusted Research and Export Controls training University wide and bespoke to high risk areas
- Collaboration with Overseas Campus



# The Compliance Ecosystem





# Overseas Campus

- Parallel work at our Overseas Campus
- Focus on cross Campus collaborations
  - Trusted Research for UNUK staff e.g. partial secondment
  - Cross Campus RKE initiatives
  - ‘Research Risk’ e.g. PIPL



# Beyond STEM

- IPO Data
- Government Officials
- Leadership issues



# Key takeaways

- Trusted Research is everybody's business
  - University Executive Board engagement
  - Researcher Academy (early career researchers)
  - Senior academics
  - Professional service staff



## Key takeaways

- Trusted' face of compliance to both individual and Institution
  - Names of the Team on auto response email
  - Skilled practitioners
  - Responsive to short notice deadlines
  - Sensitive conversations
  - Respond to every query-every contact can become an Ambassador
  - Complete transparency particularly around escalation of PNFs



# Key takeaways

- ‘Volunteer’ for everything
  - Faculty; Schools, Operations Group; R&KE Teams; Ethics Committee; ECR events;
  - Tailored training to our Overseas Campus-what they should expect working with us in the UK



# Key takeaways

- Monitoring
  - Number of Academic and Professional Service who have attended Training by School/Faculty
  - Seniority of academic attendees
  - Catch up with Faculty leadership around engagement
  - Target Schools with low uptake