# RESEARCH ON RESEARCH INSTITUTE

**Can we fix it?** Are incremental tweaks to research practices, cultures & assessment sufficient, or is it time for more radical change?

James Wilsdon, RoRI & University of Sheffield j.wilsdon@sheffield.ac.uk; @@jameswilsdon UKRIO Annual Conference, 25 May 2022





# What I hope to cover in 25 mins:

- 1. The 'cultural turn' in UK research policy
- 2. Progress we've seen...
- 3. Paradoxes of the pandemic
- 4. The yawning chasm between rhetoric & lived reality our new "valley of death"
- 5. A white, middle-class, able-bodied man in his late-40s losing patience in public
- 6. So yes, let's talk about research cultures:
  - Material cultures
  - Cultures of honesty
  - Cultures of experimentation
  - Cultures of formative assessment
  - Cultures of creative chaos



2020

What Researchers Think About the Culture They Work In



### Grant funding What we do Who we are HE ROYAL SOCIETY

ne Fellows Events Grants, Schemes & Awards Topics and Policy Jourr

### Research culture

Research culture encompasses the behaviours, values, expectations, attitudes and norms communities. It influences researchers' career paths and determines the way that researc and communicated.



The UK has a long history of shaping global research culture, from the times of the Enlight the foundation of the Royal Society and the frameworks of publishing and peer review, the leadership in championing science as an open enterprise. Explore content v About the journal v Publish with us v Subscribe

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NEWS Q&A 16 August 2020

### 'Make research fun again': UK's powerful funding chief is on a mission to change scientific culture

Plant scientist Ottoline Leyser takes the reins of UK Research and Innovation at a turbulent time.

Elizabeth Gibney





Ottoline Leyser took over as chief of UKRI in June. Credit: Mike Thornton

# The 'cultural turn' in UK research policy

How we're putting diversity, equity and inclusion at the heart of our strategy

outting diversity, equity and inclusion at the heart of our strategy

Opinion

ure, Equity &

By 2031 Wellcome will be an inclusive employer, an inclusive funder, and our work and all the activities we fund will be inclusive in design and practice.



The Covid-19 pandemic has laid bare the discrimination perpetuated by health systems, housing systems, employers and individuals. There are pronounced racial inequalities in health outcomes, people living with chronic health conditions have add their needs are being ignored, and caring responsibilities have disproportionately failen to women.

## Our vision: To advance practical and robust approaches to research assessment globally.

The Declaration Signers Project TARA News and Resources -

**Read the Declaration** 

DORA



#### OUR VISION

In generation of Black academics. We are exploring new ways to strengthen the academic pipeline for black students, from a black-led perspective.
We aim to focus our work on gaps in the sector and look forward to welcoming

more people, at all stages of their academic experience or career, to our growing community.



Jess Wade's one-woman mission to

Cite Black Women.



Artwork by Michaela Machicote - All rights reserved

#### Welcome to the official page of the Cite Black Women movement!

"I have come to believe over and over again that what is most important to me must be spoken, made verbal and shared, even at the risk of having it bruised or misunderstood." - Audre Lorde, "The Transformation of Silence into Language and Action"

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#### **OUR STORY**



In November 2017 Christen A. Smith created Cite Black Women as a campaign to push people to engage in a radical praxis of citation that acknowledges and honors Black women's transnational intellectual production. It started out with t-shirts with the simgle phrase 'Cite Black Women'. The idea was to motivate everyone, but particularly academics, to critically reflect on their everyday practices of clatation and start to

Q

Campaigns & creativity from the grassroots

# Work still in progress...

203 Department for Business, Energy & Industrial Strategy

## **R&D** People and Culture Strategy

People at the heart of R&D

University of Glasgow

#### Research Culture Statement 2022

Junce tool mask nesearch culture Assurance Statement in 2019, the world has faced unprecedented circumstances and our university has experienced one of the most disruptive times in its history. As a result, so many in our research community have had to adapt to new ways of working and faced uncertainties in the wake of COVID-19. Since our first Research Culture Assurance Statement in 2019, the world has faced unprecedented

Meanwhile, the University has published its Research Strategy 2020-25, which prioritises careers, collaboration and creativity. The importance of research culture runs through this strategy. While acknowledging that things have changed, it also recognises that our core principles for research should stay the same: that we succeed as a university when individuals succeed in their careers, that we recognise the variety of contributions that are made to research, and that we value the quality of what we do over its

Naturally, the direction of some of our research culture efforts has changed as we have worked hard to adapt recomply the unercost of almost or delinear clause (F000) faits taked as an entire worked must be angulte to meet the numerous challenges which have a sines. CDVID-18 to taked as a metine worked must be angulte impact on the ability of all staff to work productively. This impact arises from both personal circumstances and project-specific issues. However, we recognise that this impact has been differential in nature, affecting some colleagues more than others. Responding to these challenges has led us to develop a series of robust support measures to assist our researchers in these changing times. Alongside these initiatives, we made significant progress with research culture at a strategic level, including:

- Publishing our Research Strategy in October 2020.
- Launching the Lab for Academic Culture.
   Consulting on and publishing our action plan for the researcher development concordat, which is now
- rolled out across the University, with leadership from a new Concordat implementation post.

- Minning a Guardia Higher Education Award for our work in research rulture in 2020.
   Winning a Welkome Towhall on research culture and contributing to international discussions around research assessment and career development, including through the GuidHe To 2020.
   Becoming a signatory of both the <u>San Francisco Declaration on Research Assessment (DoRA) and the</u>

UK Research and Innovation Q, Search Apply for funding Manage your award What we offer News and events About us Research and innovation culture People and culture are fundamental to research and innovation because they i creative and dynamic system that we need to support the community to thrive Positive cultures support not just researchers and innovators but also the entire ecosystem which supports the research and innovation endeavour. We strive to foster a system where The role of UKRI UKRI is uniquely positioned to catalyse efforts to improve culture, building on good wo aheady under way to connect up the whole system, covering multiple disciplines and cross-sector research and innovation. Our approach in this area is multi-faceted and include reflecting on our own systems and processes how these influence the wider system improving our understanding of how we can support the whole research and introvation (Risk community to authore the co-creation of environments that

### Science Minister on 'The Research Landscape'

Amanda Solloway spoke at a Higher Education Policy Institute webinar about improving the way we evaluate research.

From: Department for Business, Energy & Industrial Strategy and Amanda Solloway MP

Published 20 October 2020

Delivered on: 20 October 2020 (Transcript of the speech, exactly as it was delivered)



# Paradoxes of the pandemic

Ivan Krastev

Is It Tomorrow Yet?\*

\*Paradoxes of the Pandemic

"The scientific community spent the prepandemic years designing faster ways of doing experiments, sharing data, and developing vaccines, allowing it to mobilize quickly when COVID-19 emerged.

Its goal now should be to address its many lingering weaknesses. Warped incentives, wasteful practices, overconfidence, inequality, a biomedical bias—COVID-19 has exposed them all. And in doing so, it offers the world of science a chance to practice one of its most important qualities: selfcorrection." *Ed Yong, The Atlantic* 



## Covid-19 Changed How the World Does Science, Together

Never before, scientists say, have so many of the world's researchers focused so urgently on a single topic. Nearly all other research has ground to a halt.

## **Build back better?**



## **Scholarly Communication** in Times of Crisis

The response of the scholarly communication system

Ludo Waltman, Stephen Pinfield, Normin Rzayeva, Susana Oliveira Henriques, Zhichoo Fang, Johanna Brumberg, Sarah Greaves, Phil Hurst, Andy Collings, Arianne Heinrichs, Nick Lindsay, Catriona J. MacCollum, Dan Morgan, Susanna-Assunta Sansona B Sowmya Swaminathan

#### FUNDERS 10 FEB 2021

## UKRI accused of 'cherry-picking' evidence in PhD Covid response



Strongly worded report condemns funder's pandemic response as 'insulting in the extreme'

PhD students have accused the UK's national research funder of "cherry-picking" evidence and ignoring their own experiences in its grant-extension policies during the pandemic.

They are now calling for UK Research and Innovation to more fully involve them in decision-making and to deploy a blanket six-month extension to all PhD students it funds, or else risk exacerbating inequalities in academia.

Editorial	D Society and Space
The view from here	EPD: Society and Space 0(0) 1-4 © The Author(s) 202 Article reuse guidelines:
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Natalie Oswin University of Toronto, Canada	journals.sagepub.com/home/epd \$SAGE

In March 2020, as COVID-19 spread and the globe locked down, we announced a Society and Space (S&S) editorial decision to "press pause" on our normal working practices (Editors, 2020). Our announcement began:

For readers of and contributors to Society and Space, the COVID-19 pandemic and the response of governments, institutions, and communities are undoubtedly of concern. Many of us will be paying close attention to how power, discourse, governance, politics, resistance and difference are enacted and made manifest in the coming weeks and months.

Acknowledging increased care work, a climate of fear and uncertainty, and significant challenges to how scholars teach and do research, we stated that:

to continue as usual right now would be untenable and unethical. Capitalist globalization has not only broken our health system, it has also broken our education system ... By pressing pause, we hope that we can change our working practices in a way that points - however tentatively and modestly - to a different ethos that recognizes the challenges that our postpandemic future will pose.

We then pledged to stop sending new submissions to review, halt automated reviewer reminders, and generally slow down operations for about a month; and to continue to assess our practices throughout the unfolding pandemic, always prioritizing solidarity and care. We closed our statement with activist and organizer Mariame Kaba's entreaty to, "let this radicalize you, rather than lead you to despair."

As managing editor of S&S, I have long meant to write with an update for our readers, authors, and reviewers. The more time that has passed, though, the less capable I have felt of saying anything worthwhile, or at least of saying anything in the determined, hope-tinged tone with which we wrote in 2020.

It will surely surprise no scholar that in the more than two years since our "pause", editorial work has become far more rather than any less challenging. We have done as we said we would do and adjusted our working practices in accordance with the situation. This



## Between rhetoric & reality: a new R&D 'valley of death'

I joined the S&S editorial team in 2009 –, it is a mechanism for collegiality, curiosity, constructive critique, and generous engagement. As a labour practice, though, it is horribly flawed. Our former long-time editor Stuart Elden said as much in 2008, highlighting the difficulty of securing reviewer commitments and reports and noting that, "something in this system is breaking down." Back then, and indeed until the pandemic began, we generally had to send out six to eight invitations to get three reports, and reviewers occasionally reneged on their commitments or, more frequently, were a few weeks or so late due to unforeseen circumstances. Now, we most often send out 10 to 15 reviewer invitations to yield even two reviewer commitments, and reports regularly come in months late with a hefty percentage never materializing at all. The system's breakdown has greatly accelerated, in tune with the pandemic's characteristic ability to widen pre-existing cracks into chasms.

In 2008, Stuart described peer review as an "exchange economy." Acknowledging the problematic political economy of academic journal publishing (i.e. reviewing as unremunerated labour, and "the politics of state-funded research generating profit for private corporations"), he made a case for professional reciprocity and announced that the journal expected any submitting author to agree to review three submissions in return, as payback for the three reports generated on their own submission. Now, with a greater proportion of submitting authors employed precariously or not at all, and the rising workloads for all scholars regardless of rank or employment status, I cannot articulate such a demand. From my vantage point managing over 550 new and revised submissions per year, peer review can no longer be expected to function as even an "exchange economy." In the current conjuncture, it is mutual aid at best. Despite the incredible erosion of institutional and social supports, those who have the capacity to review are stepping up. They are going against the grain of the academic competitive individualism, and this, at least, is heartening.

So I write, *though* I have no solutions to offer, to say that I am grateful to those who help us keep *S&S* going and understand all too well why many who share our intellectual and political commitments are not able to pitch in. I also write *because* I have no solutions to offer. I am privileged, as an editor, to have a window into the development of so many ideas, and to play a role in facilitating scholarly conversations and debates. Through that window, I also see so much evidence of exhaustion and burnout and material insecurity amongst colleagues and peers. So I write to say to those who can do something about this – e.g. the aforementioned "ever expanding educational administrative class" – that this is the view from here, here being one of those "top-tier" journals you all want your faculty to publish in to prove their and your institutions "merit" and "excellence." A reckoning with the facts of exploitation, rather than EDI initiatives and more memos congratulating us all on jobs well done through adversity, would be very welcome any time now.

## 'Pervasive' inequality derailing black UK chemists' careers, report finds

Royal Society of Chemistry says black and minority ethic chemists paid less and less likely to get research funding



D MPs were told only a 'tiny' number of research grants in science and technology were going to black academics. Photograph: Cultura Creative (RF)/Alamy

Black and minority ethnic chemists face "pervasive" inequalities that restrict their access to research funding and derail their academic careers, according to a new report by the Royal Society of Chemistry.

The report found that while black students were well represented at undergraduate level, very few were able to develop academic careers, with only one black professor of chemistry of the 575 professors working in UK universities.





# Material cultures

Replication and Reproduction: Crises in Psychology and Academic Labour Felicity Callard®

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Article information ~



### Abstract

Discussions of the replication crisis in psychology require more substantive analysis of the crisis of academic labour and of social reproduction in the university. Both the replication crisis and the crisis of social reproduction in the university describe a failure in processes of reproducing something. The financial crisis of 2007–8 shortly preceded the emergence of the replication crisis, as well as exacerbated ongoing tendencies in the organisation and practices of university research (particularly the use of precarious contracts and the adjunctification of research). These provide two reasons to address these two named crises together. But many analyses of and responses to the replication crisis turn to research culture, often at the expense of adequate investigations of research labour. Today's psychological sciences are made through multiple forms of labour: these include researchers, who range from senior principal investigators to sub-contracted, and exploited, research assistants; research participants/subjects, who include those providing labour for experiments via exploitative platforms including Amazon Mechanical Turk; and workers providing heterogeneous technical and administrative labour. Through understanding what is at stake for these multiple forms of labour, psychology might better analyse problems besetting psychology today, as well as develop different imaginaries and practices for how to address them.

## "Cause we are living in a material world And I am a material girl" Madonna





"...the turn to "research culture" looks good on paper, but there's zero reason to believe it will change practice. Any incremental changes at the margins will be insignificant compared to the core issues of pay, pensions and precarity...

Since 2009, the value of pay in HE has fallen over 20%, a period in which real wage across the wider economy have been flat. The only possible message this sends is that society values research and researchers 20% less than it did a decade ago.

You don't need a PhD to work out the impact of this on day-to-day morale, the ambivalence and hostility of government and university management to its research staff casts an ever-darker shadow over the joys of the job. Far from world-leading, UK research culture is sliding ever further into the doldrums, and it will take much more than a new era of management jargon to fix it. " Mid-career social scientist, UK Russell Group university April 2022



### Power and bullying in research

Eric Lander, director of the Office of Science and as incentivising data massaging and manipulation, and Technology Policy and science adviser to US President stand in contrast to the renumeration and job security Joe Biden, resigned on Feb 7, after an internal of those who lead research teams. review found that he had bullied staff members. The Bullying also intersects with bias and discrimination, investigation was concluded weeks before but only and hampers the inclusion of diverse viewpoints. The came to light following reporting by Politico. This event latest Nature jobs survey found that women in research is emblematic of research's failure to rein in workplace were twice as likely to report mistreatment than women bullying and of a research culture that remains in thrall in industry jobs, and that one in six reported gender to big names and bigger egos.

Fiona Watt, head of the UK's Medical Research Council, who did not identify as white reported experiencing for bullying. An Imperial investigation found that Gast research innovation, discovery, and application. issues at play in all three of these cases.

struggle to establish an agreed definition of bullving and Simple solutions put forward include anonymous grant at and differing three holds can lead to concrete a charicsians apostfor funding to provide accurate

discrimination in the workplace. Respondents in the UK



has apologised following allegations of bullying and discrimination, bullying, or harassment at twice the rate See World Report page 70 an internal investigation. Alice Gast, president of of their white colleagues. The result will be exclusion and Imperial College London, has also recently apologised the stifling of ideas and diverse perspectives that fuel "exercised her position in such a way as to undermine The distribution of grants contributes to the [staff] both personally and professionally". The "problem competitive culture of research and often supports of power being centred in two or three individuals" cited unequal power structures. The way that superstar in Imperial's report offers insight into the structural scientists are heavily associated with breakthrough research does not reflect the teams that underpin all Wellcome's 2020 report, What Researchers Think the difficult and arduous labour, but the funding and About The Culture They Work In lays out the perspective grants that result from having high-profile names of scientists subject to the behaviour of powerful publishing cutting edge research means institutions are senior staff. It found that 61% of researchers witnessed incentivised to preserve their most lucrative employees. bullying or harassment, but only 37% felt comfortable Research doesn't have to be structured like this. speaking up. 75% of researchers felt that creativity had Wellcome's report states that a positive research been stifled by research culture, with 43% believing culture is diverse, collaborative, and transparent, where that their workplace puts more value on metrics and individuals and their contributions feel supported output than research quality. Institutions also seem to and valuable, and creativity is given space to flourish.

## The Biomedical Bubble

Why UK research and innovation needs a greater diversity of priorities, politics, places and people

Inchard Jones day Janves Wrotco.

AV 1218

**Dark Academia** How Universities Die Peter Fleming



## **Cultures of honesty**

# **Cultures of experimentation**

## SCIENCE FUNDERS GAMBLE ON GRANT LOTTERIES

A growing number of research agencies are assigning money randomly.

#### **By David Adam**

lbert Einstein famously insisted that God does not play dice. But the Health Research Council of New Zealand does. The agency is one of a growing number of funders that award grants

partly through random selection. Earlier this year, for example, David Ackerley, a biologist at Victoria University of Wellington, received NZ\$150,000 (US\$96,000) to develop new ways to eliminate cells – after his number came up in the council's annual lottery. "We didn't think the traditional process was

Swiss National Science Foundation

What's new



News & Press

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## Partially Randomized Procedure -Lottery and Peer Review

Since 2017, the Volkswagen Foundation is testing a new selection procedure for project applications: In the funding initiative "Experiment!", part of the funded projects are selected by an independent jury. Additionally, further projects are drawn from those applications that are suitable for the program and eligible for funding. Background and reactions to a new and unusual selection procedure. "If I look back on many years of involvement in political decision-making and policy-making around science, innovation and R&D, I am struck by how much of it tends to turn on gut feel of the individuals involved, than on hard evidence and analysis.

This is ironic, since good science is all about testing hypotheses against data, empirical results and facts. I do believe there is a potential role for UKRI here - at modest cost - to take a deliberate strategic decision to sponsor and promote more good research, analysis and evidence-gathering on "what works" in policy on science, R&D and innovation. We should, in short, live by our values!"



Homepage > What's new > Drawing lots as a tie-breaker

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#### 31.03.2021

After a pilot phase, the SNSF is introducing the drawing of lots as a potential tiebreaker in all funding schemes. It may be used in cases where equally good proposals cannot be further differentiated objectively.



JUN 20

Experiment, translate and transform: priorities for research on research

by Research on Research Institute 14 followers Follow

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Register

Experiment, translate and transform: priorities for the next decade of research on research. RoRI Phase 2 launch event.

Date and time

Mon, 20 June 2022 15:00 - 18:00 CEST

### About this event

1 V

RoRI's mission is to improve how research is funded, practised and evaluated, so that it works better for everybody. RoRI was established in 2019 as a two-year pilot and has been able to make significant progress in turning the tools of research back on itself generating data and analysis that can improve how we design, manage and support research.

### Experiment, translate and transform: priorities for the next decade of research on research

Please join us for a special online event to kickstart RoRI's second phase, from **15:00-18:00 CEST** (14:00-17:00 BST) on **20 June 2022**. The event is co-hosted with the Swiss National Science Foundation (SNSF).

Our opening keypote speaker will be Michael Nielsen who is a

The **experi men** tal

Research funder's handbook A RoRI publication

By Sandra Bendiscioli, Teo Firpo, Albert Bravo-Biosca, Eszter Czibor, Michele Garfinkel, Tom Stafford, James Wilsdon and Helen Buckley Woods.



# Cultures of formative assessment

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### OPINION 12 OCT 2021

## The next REF can drive a better research culture

By Tanita Casci, Miles Padgett, Grace Gottlieb and David Price



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Home > News > Reviewing the role of metrics in research assessment

## Reviewing the role of metrics in research assessment



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## Cultures of creative chaos



PROFESSIONAL CAMPUS JOBS EVENTS RANKINGS STUDENT

## Is 'universal basic income' a better option than research grants?

Instead of spending time competing for competitive funding, academics should be given a lump sum, paper suggests

October 10, 2017

### David Matthews

#### Twitter: @DavidMJourno

Every tenured academic should receive a "basic income" to fund their research projects, rather than wasting their time submitting largely unsuccessful bids for grants, two researchers say.

All researchers would be entitled to a stipend every five years of about \$600,000 (£460,000) in the US and just over \$500,000 in the Netherlands if research grants' total value was shared out equally, the pair calculate, enough to hire a similar



Source: Getty



HM Dec. 1884



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