

### Integrity and culture: is the force with us?

### UKRIO May 2020

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### A very brief history

### 1<sup>st</sup> Concordat 2012

### STC inquiry 2018

### 2<sup>nd</sup> Concordat 2019

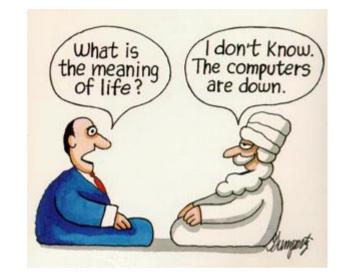


# So what changed?



## **Updated definitions**

### Integrity Key addition is accountability



### **Misconduct**

- Selectively choosing results
- Improper management of allegations of misconduct
- Improper conduct in peer review
- Misrepresentation of data, an individual's role and cv

## For researchers

Standards: become a responsibility

Ethical/legal: has become a must

### Culture

- keep up to date with standards and frameworks
- collaborate appropriately
- conduct research with integrity



- help to implement actions
- declare Col

**Integrity**: help to develop in group and institution



## For employers

### **Standards**

- embed culture
- defend researchers
- demonstrate structures and procedures to manage and promote

**Ethical/legal**: support to adopt rather than reflect



### Culture

- participate in annual monitoring exercise for compliance
- promote training and development
- identify named senior member to oversee and named staff member for first point of contact



## For employers

### **Misconduct**

- use external members
- have routes for appeal
- safeguard reputation
- avoid inappropriate NDAs
- report to funders and other bodies/provide info
- whistleblower contact

### Integrity

- environment promotes it
- make annual statement public (details provided of what this includes)
- review processes periodically



## **For funders**

### Standards:

- publish clear statements of expectations
- think about during policy
- encourage adoption



### **Ethical/legal**

- work together
- proportionate requirements and checks
- provide funding only to those who have structures in place
- codes of practice signposted



## **For funders**

### Culture

- identify senior person
- Identify a named lead
- partnership with organisations



### **Misconduct**

- clear definitions
- work with employers to manage
- abide by data protection
- take appropriate action

**Integrity**: review to ensure no inappropriate incentives

## **Embedding the Concordat**

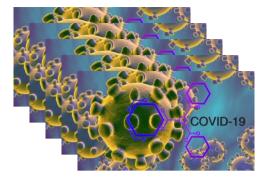
Since publication, in progress......

- Research integrity committee setup
- Report and information gathering mechanism
- Assurance process alignment





## Integrity during a crisis



#### Honesty

- Exaggeration of contribution
- Poor study design
- Too rapid publication, with lack of peer review

### **Transparency and accountability**

- Comment outside own area of expertise
- Work is less visible
- Assurance processes stalled

#### **Care and respect**

- Additional burden
- Lack of joined up approach
- Targetting by opportunists

### Rigour

- Lack of available experts
- Running investigations remotely
- Media pressure
- Less willingness to criticise
- Replication reduced



# Research culture: why do we think this is important?



should encompass not just ideas and the outputs of research, but also how research is done



## **Describing Research Culture**



## **Impact on Researchers**

## Well-being, anxiety & mental health Personal Relationships Lack of diversity

### **70%**

who were employed or students indicated they felt stressed on an average working day

### **49%**

had difficulty dealing with work-related set-backs

### 34%

had sought professional help for depression or anxiety during their research career.

### **19%**

wanted to seek help, but had not done so.

### **28%**

Agreed that "my institution/workplace wellbeing initiatives are appropriate for my needs"

## **Experience of bullying and harassment**

**Q:** During your research career have you ever...?

62% of disabled respondents reported experiencing bullying or harassment, whereas 73% had witnessed it.

Experienced bullying<br/>or harassmentWitnessed bullying or<br/>harassment43% Yes61% Yes

Women were more likely to have experienced bullying or harassment (49%) than men (34%).

### 33%

thought that leaders specifically often turned a blind eye to such behaviour



### **Impact on Research**



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### Impact on Research

### **46%**

of respondents agreed that their workplace could do more to ensure research practices do not cut corners

### 32%

My institution/workplace values speed of results over quality

### 46%

agreed they had a clear understanding of what their workplace considered compromised research to be. "The REF system in the UK requires academics to have X papers of X quality by X time and as soon as you put that barrier on someone and the university starts snarling at you, you're inviting people to cut corners to meet those criteria."

### Late career researcher, Russell Group institution

## Impact on Society

### Loss of talent from the sector

Lack of trust from the public

Lack of real innovation and impact for the future

### 37%

Of respondents said that they were considering moving to another part of the research sector within the next three years

### 36%

that they were considering leaving the research sector entirely within the next three years.

## **Townhalls**



- 16<sup>th</sup> January-10<sup>th</sup> March
- - Chaired by local senior lead on research culture
  - Facilitated small group discussions
  - Panel discussion and Q&A



## **Reimagine Research: Solutions Summit**

# Join the movement to coviD-19: New date in the autumn #ReimagineResearch

March 18, Barbican, London









## References

What Researchers Think About the Culture They Work In' Wellcome Trust and Shift Learning January 2020

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