APPENDIX
Acknowledgements and bibliography

UKRIO wishes to acknowledge the use of the following documents in the development of the Code of Practice for Research. UKRIO would also like to thank the individuals and institutions who responded to the public consultation on a draft version of the Code in 2009 for their contributions to this publication.

Addenbrooke's NHS Trust, 2008. *Standard Operating Procedure (SOP) - General* [online]. Available from: http://www.cuh.org.uk/resources/pdf/research/researchers/sops/research_governance_SOP_general_oct08.pdf [Accessed 8th June 2009]

Animals (Scientific Procedures) Act 1986 [online]. Available from: http://www.archive.official-documents.co.uk/document/hoc/321/321-xa.htm [Accessed 8th June 2009]

Arts and Humanities Research Council, 2009. *Research Funding Guide* [online]. Available from: http://www.ahrc.ac.uk/FundingOpportunities/Documents/Research%20Funding%20Guide.pdf [Accessed 20th July 2009]

Association of the British Pharmaceutical Industry, 2006. *Code of Practice for the Pharmaceutical Industry* [online]. Available from: http://www.abpi.org.uk/links/assoc/PMCPA/pmpca_code2006.pdf [Accessed 8th June 2009]

Association of Medical Research Charities, 2002. *Guidelines on Good Research Practice* [online]. Available from: http://www.amrc.org.uk/HOMEPAGE/?Nav=484,990 [Accessed 8th June 2009]

Bateson, P., Campbell, P., Cummings, L., Enderby, J., Harvey, P., Lewis, J., McNaught, A., Owen, M., Partridge, N., Sugden, A., von Radowitz, J. & Williamson, A., 2006. *Science and the Public Interest: Communicating the results of new scientific research to the public* [online]. London: Royal Society. Available from: http://royalsociety.org/downloaddoc.asp?id=5559 [Accessed 8th June 2009].

Biotechnology and Biological Sciences Research Council, 2006. *Statement on Safeguarding Good Scientific Practice* [online]. Available from: http://www.bbsrc.ac.uk/publications/policy/good_scientific_practice.pdf [Accessed 8th June 2009]

Biotechnology and Biological Sciences Research Council, 2007. *Data Sharing Policy* [online]. Available from: http://www.bbsrc.ac.uk/publications/policy/data_sharing_policy.pdf [Accessed 8th June 2009]

British Academy, 2007. *Peer Review: The Challenges for the Humanities and Social Sciences* [online]. Available from: http://www.britac.ac.uk/reports/peer-review/index.cfm [Accessed 8th June 2009]

British Academy, 2009. *Code of Practice for Consideration of Research Proposals* [online]. Available from: http://www.britac.ac.uk/funding/guide/codepractice.cfm [Accessed 8th June 2009]

British Psychological Society, 2006. *Code of Ethics and Conduct* [online]. Available from: http://www.bps.org.uk/the-society/code-of-conduct/code-of-conduct_home.cfm [Accessed 8th June 2009]

British Sociological Association, 2002. *Statement of Ethical Practice for the British Sociological Association* [online]. Available from: http://www.britsoc.co.uk/equality/Statement+Ethical+Practice.htm [Accessed 8th June 2009]

Cardiff University, 2007. *Research Governance Framework for Cardiff University* [online]. Available from: http://www.cardiff.ac.uk/racdv/resgov/forms/forms-procedures-sops-and-guidelines.html [Accessed 8th June 2009].

Children Act 1989 [online]. Available from: http://www.opsi.gov.uk/Acts/acts1989/Ukpga_19890041_en_1.htm [Accessed 8th June 2009]

Committee on Publication Ethics (COPE), 1999. *Guidelines on Good Publication Practice* [online]. Available from: http://publicationethics.org/code-conduct [accessed 8th June 2009]

Committee on Standards in Public Life (originally the Nolan Committee), 1995. *First Report on Standards in Public Life* [online]. Available from: http://www.archive.official-documents.co.uk/document/parlment/nolan/nolan.htm [Accessed 8th June 2009]

Concordat to Support the Career Development of Researchers, 2008. *Concordat to Support the Career Development of Researchers* [online]. Available from: http://www.researchconcordat.ac.uk/documents/concordat.pdf [Accessed 8th June 2009]

Council for Science and Technology, 2006. *Rigour, respect and responsibility: a universal ethical code for scientists* [online]. Available from: http://www.cst.gov.uk/cst/reports/#Ethics [Accessed 8th June 2009]

Council of Science Editors, 2009. *White Paper on Promoting Integrity in Scientific Journal Publications, 2009 update* [online]. Available from: http://www.councilscienceeditors.org/editorial_policies/white_paper.cfm [Accessed 8th June 2009]

Data Protection Act 1998 [online]. Available from:

http://www.opsi.gov.uk/Acts/Acts1998/ukpga_19980029_en_1 [Accessed 8th June 2009]

Department of Health, 2005. *Research governance framework for health and social care: second edition* [online]. Available from: http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_4108962 [Accessed 8th June 2009]

Directive 2001/20/EC of the European Parliament and of the Council on the approximation of the laws, regulations and administrative provisions of the Member States relating to the implementation of good clinical practice in the conduct of clinical trials on medicinal products for human use ("Clinical Trials Directive"), 2001 [online]. Available from: http://www.eortc.be/Services/Doc/clinical-EU-directive-04-April-01.pdf [Accessed 8th June 2009]

Directive 2005/28/EC laying down principles and detailed guidelines for good clinical practice as regards investigational medicinal products for human use, as well as the requirements for authorisation of the manufacturing or importation of such products ("Good Clinical Practice Directive"), 2005 [online]. Available from: http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2005:091:0013:0019:EN:PDF [Accessed 8th June 2009]

Eckstein, S., ed., 2003. *Manual for Research Ethics Committees*. Cambridge: Cambridge University Press.

Economic & Social Research Council, 2005. *Research Ethics Framework* [online]. Available from: http://www.esrcsocietytoday.ac.uk/ESRCInfoCentre/Images/ESRC_Re_Ethics_Frame_tcm6-11291.pdf [Accessed 8th June 2009]

Economic & Social Research Council, 2009. *Research Funding Guide* [online]. Available from: http://www.esrcsocietytoday.ac.uk/ESRCInfoCentre/Images/ESRC%20Research%20Funding%20Guide%20July%2009_tcm6-9734.pdf [Accessed 20th July 2009]

Employment Act 2002 [online]. Available from: http://www.opsi.gov.uk/acts/acts2002/ukpga_20020022_en_1 [Accessed 8th June 2009]

Engineering & Physical Sciences Research Council, 2006. *Guide to Good Practice in Science and Engineering Research* [online]. Available from: http://www.epsrc.ac.uk/CMSWeb/Downloads/Other/GoodPracticeGuideSciEngRes.pdf [Accessed 8th June 2009]

European Science Foundation, 2000. *ESF Science Policy Briefing 10: Good Scientific Practice in Research and Scholarship* [online]. Available from: http://www.esf.org/publications/policy-briefings.html [Accessed 8th June 2009]

European Science Foundation, 2008. *Stewards of Integrity. Institutional Approaches to Promote and Safeguard Good Research Practice in Europe* [online]. Available from: http://www.esf.org/nc/publications/corporate-publications.html?tx_ccdamdl_cart%5Badd%5D=17719 [Accessed 8th June 2009]

Evans, I., Thornton, H., and Chalmers, I., 2006. *Testing Treatments: Better Research for Better Healthcare* [online]. London: The British Library. Available from: www.jameslindlibrary.org/pdf/testing-treatments.pdf [Accessed 8th June 2009]

Federation of American Societies for Experimental Biology, 2006. *Shared Responsibility, Individual Integrity: scientists addressing conflicts of interest in biomedical research* [online]. Available from: http://opa.faseb.org/pdf/FASEB_COI_paper.pdf [Accessed 8th June 2009]

General Medical Council (2002). *Research: The Role and Responsibilities of Doctors* [online]. Available from: http://www.gmc-uk.org/guidance/current/library/research.asp [Accessed 8th June 2009]

General Medical Council (2006). *Good Medical Practice* [online]. Available from: http://www.gmc-uk.org/guidance/good_medical_practice/index.asp [Accessed 8th June 2009]

General Medical Council (2008). *Consent: patients and doctors making decisions together* [online]. Available from: http://www.gmc-uk.org/guidance/ethical_guidance/consent_guidance/index.asp [Accessed 8th June 2009]

General Social Care Council, 2002. *Code of Practice for Social Care Workers* [online]. Available from: http://www.gscc.org.uk/codes/Get+copies+of+our+codes/ [Accessed 8th June 2009]

Goldsmiths, University of London, 2003. *Policy on Safeguarding Good Academic and Scientific Practice and Dealing with Allegations of Misconduct in Research* [online]. Available from: http://www.gold.ac.uk/media/safeguarding-research-practice.pdf [Accessed 8th June 2009]

Guidance on the Operation of the Animals (Scientific Procedures) Act 1986 [online]. Available from: http://www.archive.official-documents.co.uk/document/hoc/321/321-00.htm [Accessed 8th June 2009]

Home Office, 2005. *Code of Practice Part 1 - for the housing and care of animals used in scientific procedures* [online]. Available from: http://scienceandresearch.homeoffice.gov.uk/animal-research/publications-and-reference/publications/code-of-practice/code-of-practice-housing care/?view=Standard&pubID=428573 [Accessed 8th June 2009]

Human Rights Act 1998 [online]. Available from: http://www.opsi.gov.uk/acts/acts1998/ukpga_19980042_en_1 [Accessed 8th June 2009]

Human Tissue Act 2004 [online]. Available from: http://www.opsi.gov.uk/acts/acts2004/20040030.htm [Accessed 8th June 2009]

Imperial College London, 2006. *Guidelines for Proper Scientific Conduct in Research* [online]. Available from: http://www3.imperial.ac.uk/secretariat/policiesandpublications/otherpolicies/properscientificconduct [Accessed 8th June 2009]

Information Commissioner's Office, 2001. *Data Protection Audit Manual* [online]. Available from: http://www.ico.gov.uk/what_we_cover/data_protection/your_legal_obligations.aspx [Accessed 8th June 2009]

International Committee of Medical Journal Editors, 2008. *Uniform Requirements for Manuscripts Submitted to Biomedical Journals: Writing and Editing for Biomedical Publication* [online]. Available from: http://www.icmje.org/ [Accessed 8th June 2009]

Joint Consensus Conference on Misconduct in Biomedical Research, 1999. *Consensus Statement* [online]. Available from: http://www.rcpe.ac.uk/clinical-standards/standards/misconduct_99.php [Accessed 8th June 2009]

Keele University, 2007. *Code of Good Research Practice* [online]. Available from: http://www.keele.ac.uk/research/researchsupport/downloads/Code%20of%20Good%20Research%20Practice.doc [Accessed 8th June 2009]

King's College London, 2008. *Guidelines on Good Practice in Academic Research* [online]. Available from: http://www.kcl.ac.uk/college/policyzone/attachments/good_practice_May_08_FINAL.pdf [Accessed 8th June 2009]

Lock, S., Wells, F. and Farthing, M. (eds.), 2008. *Fraud and Misconduct in Biomedical Research Fourth Edition*. London: RSM Press.

Macrina, F., 2005. *Scientific Integrity Third Edition*. Washington DC: American Society for Microbiology Press.

McFarlane, B., 2009. *Researching with Integrity*. New York: Routledge.

Medical Research Council, 1998. *Guidelines for Good Clinical Practice in Clinical Trials* [online]. Available from: http://www.mrc.ac.uk/Utilities/Documentrecord/index.htm?d=MRC002416 [Accessed 8th June 2009]

Medical Research Council, 2000. *Personal Information in Medical Research* [online]. Available from: http://www.mrc.ac.uk/Utilities/Documentrecord/index.htm?d=MRC002452 [Accessed 8th June 2009]

Medical Research Council, 2004. *Medical Research Involving Children* [online]. Available from: http://www.mrc.ac.uk/Utilities/Documentrecord/index.htm?d=MRC002430 [Accessed 8th June 2009]

Medical Research Council, 2004. *Research Involving Human Participants in Developing Societies* [online]. Available from: http://www.mrc.ac.uk/Utilities/Documentrecord/index.htm?d=MRC002461 [Accessed 8th June 2009]

Medical Research Council, 2005. *Good Research Practice* [online]. Available from: http://www.mrc.ac.uk/Utilities/Documentrecord/index.htm?d=MRC002415 [Accessed 8th June 2009]

Medical Research Council, 2007. *Principles for Access to, and Use of, MRC Funded Research Data* [online. Available from: http://www.mrc.ac.uk/Utilities/Documentrecord/index.htm?d=MRC003759 [Accessed 8th June 2009]

Medical Research Council, 2008. *Responsibility in the Use of Animals in Medical Research* [online]. Available from: http://www.mrc.ac.uk/Utilities/Documentrecord/index.htm?d=MRC001897 [Accessed 8th June 2009]

The Medicines for Human Use (Clinical Trials) Regulations 2004 [online]. Available from: http://www.opsi.gov.uk/si/si2004/20041031.htm [Accessed 8th June 2009]

Mental Capacity Act 2005 [online]. Available from: http://www.opsi.gov.uk/acts/acts2005/20050009.htm [Accessed 8th June 2009]

Missenden Centre for the Development of Higher Education, 2002. *The Missenden Code of Practice for Ethics and Accountability* [online]. Available from: www.missendencentre.co.uk/docs/MissCode.pdf [Accessed 8th June 2009]

National Health and Medical Research Council, 2007. *National Statement on Ethical Conduct in Human Research* [online]. Available from: http://nhmrc.gov.au/publications/synopses/e72syn.htm [Accessed 8th June 2009]

National Health Service Research and Development Forum, 2008. *Research Governance Documentation and Information Guide* [online]. Available from: http://www.rdforum.nhs.uk/workgroups/primary/pcinfoguide/introduction.htm [Accessed 8th June 2009]

National Research Ethics Service, 2007. *Guidance for Applicants to the National Research Ethics Service* [online]. Available from: http://www.nres.npsa.nhs.uk/applications/guidance/#gcpdir [Accessed 8th June 2009]

Natural Environment Research Council, 2005. *Ethics Policy* [online]. Available from: http://www.nerc.ac.uk/publications/corporate/documents/ethics_policy_leaflet.pdf [Accessed 8th June 2009]

Organisation for Economic Co-Operation and Development, 2007. *OECD Global Science Forum: Best Practices for Ensuring Scientific Integrity and Preventing Misconduct* [online]. Available from: http://www.oecd.org/dataoecd/37/17/40188303.pdf [Accessed 8th June 2009]

Public Interest Disclosure Act 1998 [online]. Available from: http://www.opsi.gov.uk/ACTS/acts1998/19980023.htm [Accessed 8th June 2009]

Queen Mary, University of London, 2003. *Guidelines on Good Practice in Research* [online]. Available from: http://www.qmul.ac.uk/corp_docs/research/researchGoodPractice.html [Accessed 8th June 2009]

Queen's University Belfast, 2003. *Code of Good Conduct in Research* [online]. Available from: http://www.qub.ac.uk/rrs/webpages/research-governance.htm [Accessed 8th June 2009]

Research Assessment Exercise, 2005. *RAE 2008 Guidance on Submissions* [online]. Available from: http://www.rae.ac.uk/pubs/2005/03/ [Accessed 8th June 2009]

Research Councils UK, 1998. *Safeguarding good scientific practice: A joint statement by the Director General of the*

Research Councils and the Chief Executives of the UK Research Councils [online]. Available from: http://www.ukoln.ac.uk/projects/ebank-uk/docs/scientific-practice.doc [Accessed 8th June 2009]

Research Councils UK, 2008.*Terms and Conditions of Research Council fEC Grants* [online]. Available from: http://www.rcuk.ac.uk/aboutrcuk/efficiency/tcfec [Accessed 8th June 2009]

Research Councils UK, 2009. *RCUK Policy and Code of Conduct on the Governance of Good Research Conduct (post-consultation draft)* [online]. Available from: http://www.rcuk.ac.uk/review/grc/default.htm [Accessed 20th July 2009]

Research Information Network, 2008. *Stewardship of digital research data: a framework of principles and guidelines* [online]. Available from: http://www.rin.ac.uk/data-principles [Accessed 8th June 2009]

RESPECT Project, 2004. *RESPECT Code of Practice for Socio-Economic Research* [online]. Available from: http://www.respectproject.org/code/respect_code.pdf [Accessed 8th June 2009]

Science and Technology Facilities Council, 2009. *fEC Research Grants Handbook* [online]. Available from: http://www.stfc.ac.uk/rgh/PDFs/rghAll.pdf [Accessed 7th July 2009]

Sheffield Hallam University, 2004. *Research ethics 2: Safeguarding good specific practice and dealing with allegations of misconduct in research* [online]. Available from: http://students.shu.ac.uk/rightsrules/resethics2.html [Accessed 8th June 2009]

Smith R., 2000. What is research misconduct? *In:* C. White, ed., *The COPE Report 2000* [online]. London: BMJ Books. Available from: http://publicationethics.org/static/2000/2000pdf6.pdf [Accessed 8th June 2009]

Social Research Association, 2003. *Ethical Guidelines* [online]. Available from: http://www.the-sra.org.uk/documents/pdfs/ethics03.pdf [Accessed 8th June 2009]

Steneck, N. H., 2007. *Office of Research Integrity Introduction to the Responsible Conduct of Research Revised Edition* [online]. Washington DC: United States Department of Health and Human Services. Available from: http://ori.dhhs.gov/documents/rcrintro.pdf [Accessed 8th June 2009]

UK Research Integrity Office, 2008. *Procedure for the Investigation of Misconduct in Research* [online]. Available from: http://www.ukrio.org/resources/UKRIO%20Procedure%20for%20the%20Investigation%20of%20Misconduct%20in%20Research.pdf [Accessed 8th June 2009]

University College London, 2008. *Guidelines for Responsible Practice in Research* [online]. Available from: http://www.ucl.ac.uk/academic-manual/part-e/e20 [Accessed 8th June 2009]

University of Cambridge, 2008. *Good Research Practice* [online]. Available from: http://www.rsd.cam.ac.uk/documents/research/Good_Research_Practice.pdf [Accessed 8th June 2009]

University of Edinburgh, 2002. *Code of Good Practice in Research* [online]. Available from: http://www.research-innovation.ed.ac.uk/information/goodresearchpractice.pdf [Accessed 8th June 2009]

University of Glasgow, 2007. *Code of Good Practice in Research* [online]. Available from: http://www.gla.ac.uk/media/media_46633_en.pdf [Accessed 8th June 2009]

University of Manchester, 2006. *Code of Good Research Conduct* [online]. Available from: http://www.researchsupport.manchester.ac.uk/Governance/J1276_Good_Research.pdf [Accessed 8th June 2009]

University of Oxford, 2007. *Academic Integrity in Research: Code of Practice and Procedure* [online]. Available from: http://www.admin.ox.ac.uk/ps/staff/codes/air.shtml [Accessed 8th June 2009]

University of Sheffield, 2003. *Good Research Practice Standards* [online]. Available from: http://www.shef.ac.uk/content/1/c6/07/20/99/GRPcollated.pdf [Accessed 8th June 2009]

University of Sussex, 2000. *Code of Practice for Research* [online]. Available from: http://www.sussex.ac.uk/res/documents/code.pdf [Accessed 8th June 2009]

Wellcome Trust, 2005. *Guidelines on Good Research Practice, Including the Statement on the Handling of Allegations of Research Misconduct* [online]. Available from: http://www.wellcome.ac.uk/stellent/groups/corporatesite/@policy_communications/documents/web_document/wtd002754.pdf [Accessed 8th June 2009]

World Medical Association, 2000. *World Medical Association Declaration of Helsinki: Ethical Principles for Medical Research Involving Human Subjects* [online]. Available from: http://www.wma.net/e/policy/b3.htm [Accessed 8th June 2009]